

Ref in RFP	Original RFP Clause	Modified in RFP clause
Page 54 Eligibility Criteria under Annex 3	The Bidder should have undertaken similiar assignment in at least 3 banks in Mauritius in last 5 years.	The Bidder should have undertaken similiar assignment in at least 1 bank in Mauritius in last 5 years..

ANNEXURE-1

Technical Proposal Covering Letter

To,

Date:

The RFP Coordinator

Bank of Baroda, Mauritius

Sir William Newton Street

Port Louis

Sub: Selection of Consultant to conduct Independent External Audit on the compliance and effectiveness of our abidance to the Cyber & Technology Risk Management practices as per guidelines issued by Bank of Mauritius.

Having examined the above RFP including all Annexure, the receipt of which is hereby duly acknowledged, we, the undersigned, offer to undertake the services in conformity with the said RFP in accordance with the Prices indicated in the Commercial Offer and made part of this Bid.

- 1) If our Offer is accepted, we undertake to provide service as per the timelines defined by the Bank from the date of commencement of services.**
- 2) We agree to abide by this offer till 180 business days from the date of Financial Proposal opening and our offer shall remain binding upon us and may be accepted by the Bank any time before expiry of that period.**
- 3) Until a formal contract is prepared and executed, this offers together with the Bank's written acceptance thereof and the Bank's notification of award shall constitute a binding contract between us.**
- 4) We confirm that we have not made any changes in the offer documents, except for filling in appropriate columns.**
- 5) We confirm that our Firm has not been black listed/ sanctioned/debarred by any Government or Financial Institutions/Banks/ BOM/ RBI/ ICAI/ IBA/ Government/ Semi-Government departments/ PSU's in India/ or any other authority in MAURITIUS.**
- 6) We confirm that our Firm do not have any pecuniary liability nor any judicial proceedings or any restraint restricting us in fulfilling the services.**
- 7) We understand that the Bank is not bound to accept the offer and the Bank has right to reject the offer in full or part without assigning any reasons, whatsoever.**

Signature of the Authorized Signatory

Name:

Designation:

Name of the Firm:

Address:



ANNEXURE-2

Letter of Authorization to Bid

To,

Date:

The RFP Coordinator

Bank of Baroda, Mauritius

Sir William Newton Street

Port Louis

Dear Sir,

SUB: Authorization Letter for submitting bid documents.

REF: Selection of Consultant to conduct Independent External Audit on the compliance and effectiveness of our abidance to the Cyber & Technology Risk Management practices as per guidelines issued by Bank of Mauritius...

This has reference to your above RFP for selection of a consultant Selection of Consultant to conduct Independent External Audit on the compliance and effectiveness of our abidance to the Cyber & Technology Risk Management practices in accordance with the supervisory expectations i.e. Bank of MAURITIUS..Mr / Ms..... is hereby authorized to submit the bid documents and to sign the contract on behalf of our organization for all the services required by the Bank as called for vide the Bank's request for proposal vide above referred RFP on behalf of our organization. We confirm that the person so authorized above has digital signatures and confirm that all the prices quoted by him shall be binding on us. He/ She is also authorized to take decisions on behalf of the firm till RFP process is completed.

Certified photocopy of Power of Attorney (POA) of the person authorizing such person is duly submitted.

We hereby extend our full guarantee and warranty as per Clauses of Contract for the goods and services offered for supply by the Firm against this RFP.

The specimen signature is attested below:

Specimen signature of the Representative

Signature of the Authorizing Authority

Name of the Authorizing Authority (Certified Xerox copy of POA of authorized Signatory/authority is to be submitted)

Note:

This letter of authority should be on the letterhead of the principal on whose behalf the proposal is submitted and should be signed by a person competent and having the power of attorney to bind the principal. It should be included by the Firm in its proposal

ANNEXURE-*3
Eligibility Criteria
1. Cut-off date for eligibility criteria is the Date of Publication of RFP

	Details	Support Documents to be submitted
	The Bidder Firm should not have any adverse remark against the firm with the Regulators / Statutory Authorities / various Law Enforcing Agencies in any jurisdiction.	A Suitable undertaking / declaration should be submitted by the firm to this effect.
	Bidder should have undertaken similar assignment in at least 3 banks in MAURITIUS in last 5 years.	Satisfactory project completion certificate or purchase order or agreement copy
	Bidder should have a full-fledged office in MAURITIUS for at least last five years.	Details to be provided for office in Mauritius for last five years.
	Bidder should have well qualified and skilled employee and those have minimum -----years of experience in financial crime compliance.	Detail team profile with their education qualification and experience details.

ANNEXURE 3.1
Technical Evaluation
To,
Date:
The RFP Coordinator
Bank of Baroda, Mauritius
Sir William Newton Street
Port Louis

Sub: Selection of Consultant to conduct Independent External Audit on the compliance and effectiveness of our abidance to the Cyber & Technology Risk Management practices as per guidelines issued by Bank of Mauritius.

Having examined the above RFP including all Annexure, the undersigned submit following information and supporting document for technical evaluation.

Name of the company: _____

Registered Address: _____

No.	Particulars	Max Eligible Marks
	Overall Profile of the firm	
	The number of qualified CAMS/CGSS/CDSS any compliance related global accredited certified team members, with minimum 3 year of experience of Compliance Department in MAURITIUS. More than 10 and up to 30 – 20 Marks i. More than 8 and upto 30 – 15 Marks ii. More than 5 and upto 20 – 10 Marks	
	Number of clients served as in last 5 years for similar assignment/department. i. More than 8 – 15 Marks ii. More than 5 but less than or equal to 8 – 10 Marks iii. More than 3 but less than or equal to 5 – 05 Marks	
	Past Experience	
	No. of years of experience of the client in the Compliance Department/Regulatory Compliance.	

	<p>i. More than 10 Years – 20 Marks</p> <p>ii. More than 8 Years upto 10 Years – 15 Marks</p> <p>iii. More than 5 Years upto 8 Years – 10 Marks</p> <p>iv. Less than 5 Years – 5 Marks</p>	
	Understanding of the scope & proposed approach	
	<p>Understanding of the scope of the assignment demonstrated in the response to the RFP, Technical approach & Methodology (Bidder should submit a write-up showing the approach & methodology for the mentioned scope of the work)</p> <p><u>(Subjective and score to be decided by the Bank based upon response to the RFP)</u></p>	
	Technical Profile	
	<p>Presentation by bidders and evaluation by Internal committee.</p> <p><u>(Marks to be assigned by an Internal Committee based on the methodology, work plan & presentations)</u></p>	
	Total	

Certificate of registration with authority/ies, Copy of Trade License / other Certificates if any are attached herewith.

Experience – Experience of Firm/Company having relevant experience of Consultant		
Name of Entity	From – To Date	Tenure

Engagement letter received from Bank is attached herewith.

Signature of the Authorized Signatory

Name:

Designation:

Name of the Firm:

Address:

ANNEXURE-4

Approach, Methodology and Work Plan

The Firm should submit the Approach, Methodology and work plan in one integrated document for each area of work mentioned in the scope. It should highlight the proposed approach and methodology for delivery of the assignment proposed given the understanding of the Bank. Team structure and staffing pattern should be highlighted clearly in the light of the Bank's stipulation for deploying an experienced team with the requisite skill sets to deliver the scope of the assignment.

The project scope is as defined in the RFP. The work order for the specific areas requiring consultancy services shall be issued to any of the firms duly selected from this process from time to time as per the requirement of the bank.

ANNEXURE 5

Team Profile

Profile of proposed Team members

Number of members to be assigned	
No. of staffs available with understanding of the scope	

We hereby acknowledge that the information provided by us is true and to the best of our knowledge.

Signature of the Authorized Signatory

Name:

Designation:

Name of the Firm:

Address:

ANNEXURE 6

Proposed List of Key Personnel

The list should include the team leader and key team members with their proposed role in the assignment

No.	Name	Age	Present Location	Experience relevant to RFP	Proposed role in Assignment	Employee ID as issued by company

List of personnel to be deployed for the assignment to be furnished with details as per the table above.

Personnel proposed to be deployed to the bank must be having knowledge of the scope.

The Bank shall reserve the right to seek the change of resource personnel in case on need.

Signature of the Authorized Signatory

Name:

Designation:

ANNEXURE-7

Financial Proposal Format

Bank of Baroda, MAURITIUS Operations
Financial Proposal

Service Name	Cost
Governance Evaluate the effectiveness of the senior management in mitigating the Operational and Technology Risks	
Adequacy of infrastructure to identify cyber and technology risks Assess the bank's preparedness on infrastructure capabilities	
Prevention, Detection, Response and Recovery Assess the bank's ability to respond, realign & reimagine its risk strategies to ensure operational resilience	
Assurance and testing The validation of the adequacy and efficacy of testing procedures including third party management.	
Situational awareness, Learning and evolving Evaluate the training programs & risk posture of the bank	
Reporting & Transitional requirements Assess the bank's reporting capabilities and efficacy (including physical security). Assess the adherence by the bank on disclosures converging with regulatory guidelines	

Any other charges proposed may be mentioned in separate table.

* Service charges (exclusive of VAT) quoted above *shall be inclusive of Professional Service and Out of Pocket Expenses* (such as Travel, Lodging and Boarding, Printing, Administrative Expenses etc.). The Bank shall not entertain any other claims over and above the fee specified in the Financial Proposal. No additional fee will be paid by the Bank for time over run.





ANNEXURE 8

Undertaking

(This letter should be on the letterhead of the bidder duly signed by an authorized signatory)

To,

The RFP Coordinator

Bank of Baroda, Mauritius

Sir William Newton Street

Port Louis

Sir,

Sub: Selection of Consultant to conduct Independent External Audit on the compliance and effectiveness of our abidance to the Cyber & Technology Risk Management practices as per guidelines issued by Bank of Mauritius...

Having examined the RFPs including all Annexure and Appendices, the receipt of which is hereby duly acknowledged, we, the undersigned offer to supply, deliver, implement and commission ALL the terms mentioned in the Request for Proposal” and the other schedules of requirements and services for Bank of Baroda in conformity with the said RFPs in accordance with the schedule of Prices indicated in the Price Bid and made part of this Tender.

If our Bid is accepted, we undertake to comply with the delivery schedule as mentioned in the RFP.

- 1) We agree to abide by this Financial Proposal for 180 business days from the date of the Financial Bid opening and our Offer shall remain binding on us and may be accepted by the Bank any time before expiry of the offer.**
- 2) This Bid, together with your written acceptance thereof and your notification of award, shall constitute a binding Contract between us.**
- 3) We agree that the Bank is not bound to accept the lowest or any Bid the Bank may receive.**
- 4) We certify that we have provided all the information requested by the Bank in the format requested for. We also understand that the Bank has the exclusive right to reject this offer in case the Bank is of the opinion that the required information is not provided or is provided in a different format.**

Dated this.....by20

Yours faithfully,

Signature of the Authorized Signatory

Name:

Designation:

Name of the Firm:

Address:

ANNEXURE 9

Declaration for Conformity with Hardcopy Letter

(This letter should be on the letterhead of the bidder duly signed by an authorized signatory)

To,

The RFP Coordinator

Bank of Baroda, Mauritius

Sir William Newton Street

Port Louis

Sir,

Sub: Selection of Consultant to conduct Independent External Audit on the compliance and effectiveness of our abidance to the Cyber & Technology Risk Management practices as per guidelines issued by Bank of Mauritius

.Further to our proposal dated, in response to the Request for Proposal (Bank's tender No. here in after referred to as "RFP") issued by Bank of Baroda ("Bank") we hereby covenant, warrant and confirm as follows:

The soft-copies of the proposal submitted by us in response to the RFP and the related addendums and other documents including the changes made to the original RFPs issued by the Bank, conform to and are identical with the hard-copies of aforesaid proposal submitted by us, in all respects.

Yours faithfully,

Signature of the Authorized Signatory

Name:

Designation:

Name of the Firm:

Address:



ANNEXURE 10

Conformity Letter

(This letter should be on the letterhead of the bidder duly signed by an authorized signatory)

To,

The RFP Coordinator

Bank of Baroda, Mauritius

Sir William Newton Street

Port Louis

Sir,

Sub: Selection of Consultant to conduct Independent External Audit on the compliance and effectiveness of our abidance to the Cyber & Technology Risk Management practices as per guidelines issued by Bank of Mauritius

Further to our proposal dated, in response to the Request for Proposal (Bank's tender No. hereinafter referred to as "RFP") issued by Bank of Baroda ("Bank") we hereby covenant, warrant and confirm as follows:

We hereby agree to comply with all the terms and conditions / stipulations as contained in the RFP and the related addendums and other documents including the changes made to the original RFPs issued by the Bank shall form a valid and binding part of the aforesaid RFP document. The Bank is not bound by any other extraneous matters or deviations, even if mentioned by us elsewhere either in our proposal or any subsequent deviations sought by us, whether orally or in writing, and the Bank's decision not to accept any such extraneous conditions and deviations will be final and binding on us.

Yours faithfully,

Signature of the Authorized Signatory

Name:

Designation:

Name of the Firm:

Address:

